



**First 5 Fresno County**  
**State Annual Report**  
**Fiscal Year 2015-2016**

## Table of Contents

|  |           |
|--|-----------|
| <b>AR1/AR2 Summary Report</b> .....                                | <b>2</b>  |
| <b>AR1 County Revenue and Expenditure Summary</b> .....            | <b>3</b>  |
| <b>AR2 Improved Family Functioning</b> .....                       | <b>7</b>  |
| Targeted Intensive Family Support Services .....                   | 7         |
| Adult and Family Literacy Programs .....                           | 9         |
| General Parenting Education and Family Support Programs .....      | 11        |
| Quality Family Functioning Systems Improvement .....               | 13        |
| <b>AR2 Improved Child Development</b> .....                        | <b>15</b> |
| Early Education Provider Programs .....                            | 15        |
| Preschool Programs for 3 and 4 Year Olds .....                     | 18        |
| Infants, Toddlers, and All- Age Early Learning Programs .....      | 20        |
| <b>AR2 Improved Child Health</b> .....                             | <b>22</b> |
| Quality Health Systems Improvement .....                           | 22        |
| Maternal and Child Health Care.....                                | 24        |
| Primary and Specialty Medical Services .....                       | 26        |
| Comprehensive Screening and Assessments.....                       | 28        |
| Targeted Intensive Intervention for Identified Special Needs ..... | 31        |
| Safety Education and Injury Prevention .....                       | 33        |
| <b>AR2 Improved Systems of Care</b> .....                          | <b>35</b> |
| Public Education and Information .....                             | 35        |
| <b>AR3 County Evaluation Summary</b> .....                         | <b>36</b> |
| <b>Annual Report Guidelines Fiscal Year 15-16</b> .....            | <b>39</b> |



**AR1/AR2 Summary Report  
For Fiscal Year July 1, 2015 - June 30, 2016**

County: Fresno

Friday, October 28, 2016

| <b>Result Area</b>          | <b>Service</b>   | <b>Status</b> | <b>Total Dollars Spent</b> | <b>Total Number of Children Served</b> | <b>Total Number of Parents/Other Family Members/Providers Served</b> |
|-----------------------------|--|---------------|----------------------------|--|--|
| Improved Family Functioning | Adult and Family Literacy Programs                           | Submitted     | \$174,655                  | 153                                    | 271  |
| Improved Family Functioning | Targeted Intensive Family Support Services                   | Submitted     | \$1,477,540                | 624                                    | 1730   |
| Improved Family Functioning | General Parenting Education and Family Support Programs      | Submitted     | \$231,140                  | 2166                                   | 23   |
| Improved Family Functioning | Quality Family Functioning Systems Improvement               | Submitted     | \$53,182                   | 0                                      | 63   |
| Improved Child Development  | Preschool Programs for 3 and 4 Year Olds                     | Submitted     | \$46,229                   | 11                                     | 0  |
| Improved Child Development  | Infants, Toddlers, and All-Age Early Learning Programs       | Submitted     | \$56,503                   | 5                                      | 0  |
| Improved Child Development  | Early Education Provider Programs                            | Submitted     | \$914,332                  | 0                                      | 1340   |
| Improved Child Health       | Maternal and Child Healthcare                                | Submitted     | \$178,835                  | 39                                     | 11   |
| Improved Child Health       | Primary and Specialty Medical Services                       | Submitted     | \$334,195                  | 201                                    | 0  |
| Improved Child Health       | Comprehensive Screening and Assessments                      | Submitted     | \$601,345                  | 4862                                   | 42   |
| Improved Child Health       | Targeted Intensive Intervention for Identified Special Needs | Submitted     | \$367,166                  | 91                                     | 31   |
| Improved Child Health       | Safety Education and Injury Prevention                       | Submitted     | \$10,000                   | 40                                     | 0  |
| Improved Child Health       | Quality Health Systems Improvement                           | Submitted     | \$760,278                  | 0                                      | 19783  |
| Improved Systems of Care    | Organizational Support                                       | Submitted     | \$1,191,261                | 0                                      | 0  |
| Improved Systems of Care    | Public Education and Information                             | Submitted     | \$410,152                  | 0                                      | 0  |

County: Fresno

Friday, October 21, 2016

| <b>Revenue Detail</b>             |  |
|-----------------------------------|--|
| Tobacco Tax Funds                 | \$11,025,496   |
| CARES Plus Program Funds, Round 2 | \$0  |
| CSP, RFA 1 Extension              | \$0  |
| CSP, RFA 3 Extension              | \$0  |
| Small County Augmentation Funds   | \$0  |
| IMPACT                            | \$0  |
| Other Funds                       | \$278,607  |
| Other Funds Description           | Additional funds were received from the Fresno County Office of Education to enhance early childhood quality improvement efforts as part of the local quality improvement Rating System (QRIS). Additionally, reimbursement of funds from tenants leasing space from the Commission for operational costs of the Lighthouse for Children facility were received. |
| Grants                            | \$0  |
| Grants Description                |  |
| Donations                         | \$0  |
| Revenue From Interest Earned      | \$298,594  |
| <b>Total Revenue</b>              | <b>\$11,602,697</b>  |

| <b>Improved Family Functioning</b>                      |                    |
|---|--------------------|
| Community Resource and Referral                         | \$0                |
| Distribution of Kit For New Parents                     | \$0                |
| Adult and Family Literacy Programs                      | \$174,655          |
| Targeted Intensive Family Support Services              | \$1,477,540        |
| General Parenting Education and Family Support Programs | \$231,140          |
| Quality Family Functioning Systems Improvement          | \$53,182           |
| <b>Total</b>  | <b>\$1,936,517</b> |

| <b>Improved Child Development</b>                      |  |
|--|--|
| Preschool Programs for 3- and 4- Year Olds             | \$46,229   |
| Infants, Toddlers, and All-Age Early Learning Programs | \$56,503   |
| Early Education Provider Programs                      | \$914,332  |
| Kindergarten Transition Services                       | \$0  |
| Quality ECE Investments                                | \$394,530  |
| Quality ECE Investments Description                    | <p>Investments included the Fresno County Early Stars Assessment with County Office of Education to provide necessary materials to conduct assessments aimed to improve program quality and generate a marketable star rating. Additionally, to increase access to high quality child care, investments included a tuition scholarship amount for families who do not qualify for state subsidized child care and are unable to afford the full tuition amount at the Lighthouse for Children Child Development Center</p> |
| <b>Total</b>   | <b>\$1,411,594</b>   |

| <b>Improved Child Health</b>                                 |   |
|--|---|
| Nutrition and Fitness  | \$0   |
| Health Access  | \$0   |
| Maternal and Child Health Care                               | \$178,835   |
| Oral Health  | \$0   |
| Primary and Specialty Medical Services                       | \$334,195   |
| Comprehensive Screening and Assessments                      | \$601,345   |
| Targeted Intensive Intervention for Identified Special Needs | \$367,166   |
| Safety Education and Injury Prevention                       | \$10,000  |
| Tobacco Education and Outreach                               | \$0   |
| Quality Health Systems Improvement                           | \$760,278   |
| Quality Health Systems Improvement Description               | Investments included the expansion of the "Help Me Grow" early screening and identification system. Additionally, investments included the broadening of Breastfeeding Friendly spaces throughout Fresno County, including higher education and local government locations. |
| <b>Total</b>   | <b>\$2,251,819</b>  |

| <b>Improved Systems of Care</b>         |                    |
|---|--------------------|
| Policy and Broad Systems-Change Efforts | \$1,555,621        |
| Organizational Support                  | \$1,191,261        |
| Public Education and Information        | \$410,152          |
| <b>Total</b>                            | <b>\$3,157,034</b> |

| <b>Expenditure Detail</b>                                    |                     |
|--|---------------------|
| Program Expenditures   | \$8,756,964         |
| Administrative Expenditures                                  | \$1,111,472         |
| Evaluation Expenditures                                      | \$550,280           |
| <b>Total Expenditures</b>                                    | <b>\$10,418,716</b> |
| <b>Excess (Deficiency) of Revenues Over (Under) Expenses</b> | <b>\$1,183,981</b>  |

| <b>Other Financing Sources</b>       |            |
|--------------------------------------|------------|
| Sale(s) of Capital Assets            | \$0        |
| Other: Specify Source Below          | \$0        |
| Other Description                    |            |
| <b>Total Other Financing Sources</b> | <b>\$0</b> |

| <b>Net Change in Fund Balance</b> |                    |
|-----------------------------------|--------------------|
| Fund Balance - Beginning July 1   | \$25,562,828       |
| Fund Balance - Ending June 30     | \$26,746,809       |
| <b>Net Change In Fund Balance</b> | <b>\$1,183,981</b> |

| <b>FY Fund Balance</b>    |                     |
|---------------------------|---------------------|
| Nonspendable              | \$10,956,060        |
| Restricted                | \$0                 |
| Committed                 | \$15,790,749        |
| Assigned                  | \$0                 |
| Unassigned                | \$0                 |
| <b>Total Fund Balance</b> | <b>\$26,746,809</b> |

### **Expenditure Notes**

The Commission's total assets and liabilities are approximately \$29.6 million at the end of the fiscal year. The majority of the Commission's assets are in cash and investments of \$16.6 million with a loan receivable of \$10.9 million for the Lighthouse for Children facility. In fiscal year 2015-2016 the Commission's net position increased by approximately \$1.2 from the prior year. The Commission received less "other revenue" compared to the preceding fiscal year. However, an unanticipated increase in Prop 10 revenue along with an increase in interest and investment earnings was realized. Even so, overall administrative expenses decreased.

**Result Type: Improved Family Functioning**

**Service Type: Targeted Intensive Family Support Services**

**Provide the most recent compelling service outcome available for this service.**

The goal of Superior Court of California, County of Fresno Family Dependency Treatment Court (FDTC) is to protect the safety and welfare of children while giving parents the tools they need to become sober, responsible caregivers. To accomplish this, the court draws together an interdisciplinary team that works collaboratively to assess the family’s situation and to devise a comprehensive case plan that addresses the needs of both the children and parents. This year the program offered Nurturing Parenting classes through an in-house program with Fresno County Department of Social Services (DSS) to over 40 parents. The course is offered weekly, for three-hour sessions for 14 weeks. By providing supportive and adaptive networks for parents, the FDTC model aims to address comprehensive issues of parents and their children by improving families’ access to permanency and offering parents a viable chance to achieve sobriety and provide safe and nurturing homes.

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

Since its start in December 2013, the County of Fresno Family Dependency Treatment Court (FDTC) has increased the number of families and parents served, from 13 clients served in Fiscal Year 2013-2014 (FY13-14), to 44 clients in Fiscal Year 2015-2016 (FY 15-16). The types of clients the FDTC provides services to has also changed over time, with a greater proportion of its clients being Hispanic/Latina women in the most recent fiscal year (23% in FY13-14 and 59% in FY15-16). Despite these changes, the FDTC continues to strive for child reunification and provide support to parents to enable them to successfully complete the program.

**Describe the measurement tool used in the evaluation to measure the outcome.**

Persimmony Database

| <b>Provide a breakdown of the population served by the following demographic categories</b> |             |
|---|-------------|
| Children Less Than 3 Years Old  | 482         |
| Children from 3rd to 6th Birthday   | 139         |
| Children - Ages Unknown (birth to 6th Birthday)   | 3           |
| Parents/Guardians/Primary Caregivers  | 1355        |
| Other Family Members  | 36          |
| Providers   | 339         |
| <b>Total Population Served</b>  | <b>2354</b> |



| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |             |             |
|---|-------------|-------------|
| Alaska Native/American Indian   | 10          | 25          |
| Asian   | 50          | 95          |
| Black/African-American  | 30          | 78          |
| Hispanic/Latino   | 356         | 750         |
| Pacific Islander  | 0           | 0           |
| White   | 83          | 322         |
| Multiracial   | 39          | 53          |
| Other   | 56          | 32          |
| Unknown   | 0           | 0           |
| <b>Sub Totals</b>   | <b>624</b>  | <b>1355</b> |
| <b>Total Population Served</b>  | <b>1979</b> |             |

| <b>Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |             |             |
|--|-------------|-------------|
| English  | 336         | 850         |
| Spanish  | 211         | 315         |
| Cantonese  | 0           | 0           |
| Mandarin   | 0           | 0           |
| Vietnamese   | 1           | 3           |
| Korean   | 0           | 0           |
| Other  | 63          | 173         |
| Unknown  | 13          | 14          |
| <b>Sub Totals</b>  | <b>624</b>  | <b>1355</b> |
| <b>Total Population Served</b>   | <b>1979</b> |             |

**Result Type: Improved Family Functioning**

**Service Type: Adult and Family Literacy Programs**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |            |
|---|------------|
| Children Less Than 3 Years Old  | 100        |
| Children from 3rd to 6th Birthday   | 53         |
| Children - Ages Unknown (birth to 6th Birthday)   | 0          |
| Parents/Guardians/Primary Caregivers  | 222        |
| Other Family Members  | 4          |
| Providers   | 45         |
| <b>Total Population Served</b>  | <b>424</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |    |     |
|---|----|-----|
| Alaska Native/American Indian   | 1  | 0   |
| Asian   | 51 | 44  |
| Black/African-American  | 4  | 8   |
| Hispanic/Latino   | 55 | 148 |
| Pacific Islander  | 0  | 0   |
| White   | 25 | 16  |
| Multiracial   | 17 | 6   |

|                                |            |            |
|--------------------------------|------------|------------|
| Other                          | 0          | 0          |
| Unknown                        | 0          | 0          |
| <b>Sub Totals</b>              | <b>153</b> | <b>222</b> |
| <b>Total Population Served</b> | <b>375</b> |            |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |            |            |
|--------------------------------|------------|------------|
| English                        | 89         | 70         |
| Spanish                        | 32         | 124        |
| Cantonese                      | 0          | 0          |
| Mandarin                       | 0          | 1          |
| Vietnamese                     | 0          | 0          |
| Korean                         | 0          | 0          |
| Other                          | 32         | 27         |
| Unknown                        | 0          | 0          |
| <b>Sub Totals</b>              | <b>153</b> | <b>222</b> |
| <b>Total Population Served</b> | <b>375</b> |            |

**Result Type: Improved Family Functioning**

**Service Type: General Parenting Education and Family Support Programs**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |             |
|---|-------------|
| Children Less Than 3 Years Old  | 1639        |
| Children from 3rd to 6th Birthday   | 525         |
| Children - Ages Unknown (birth to 6th Birthday)   | 2           |
| Parents/Guardians/Primary Caregivers  | 23          |
| Other Family Members  | 0           |
| Providers   | 0           |
| <b>Total Population Served</b>  | <b>2189</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |      |    |
|---|------|----|
| Alaska Native/American Indian   | 0    | 1  |
| Asian   | 6    | 0  |
| Black/African-American  | 20   | 6  |
| Hispanic/Latino   | 2111 | 12 |
| Pacific Islander  | 0    | 0  |

|                                |             |           |
|--------------------------------|-------------|-----------|
| White                          | 29          | 3         |
| Multiracial                    | 0           | 1         |
| Other                          | 0           | 0         |
| Unknown                        | 0           | 0         |
| <b>Sub Totals</b>              | <b>2166</b> | <b>23</b> |
| <b>Total Population Served</b> | <b>2189</b> |           |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |             |           |
|--------------------------------|-------------|-----------|
| English                        | 379         | 23        |
| Spanish                        | 1787        | 0         |
| Cantonese                      | 0           | 0         |
| Mandarin                       | 0           | 0         |
| Vietnamese                     | 0           | 0         |
| Korean                         | 0           | 0         |
| Other                          | 0           | 0         |
| Unknown                        | 0           | 0         |
| <b>Sub Totals</b>              | <b>2166</b> | <b>23</b> |
| <b>Total Population Served</b> | <b>2189</b> |           |

**Result Type: Improved Family Functioning**

**Service Type: Quality Family Functioning Systems Improvement**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |           |
|---|-----------|
| Children Less Than 3 Years Old  | 0         |
| Children from 3rd to 6th Birthday   | 0         |
| Children - Ages Unknown (birth to 6th Birthday)   | 0         |
| Parents/Guardians/Primary Caregivers  | 0         |
| Other Family Members  | 0         |
| Providers   | 63        |
| <b>Total Population Served</b>  | <b>63</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |   |   |
|---|---|---|
| Alaska Native/American Indian   | 0 | 0 |
| Asian   | 0 | 0 |
| Black/African-American  | 0 | 0 |
| Hispanic/Latino   | 0 | 0 |
| Pacific Islander  | 0 | 0 |
| White   | 0 | 0 |
| Multiracial   | 0 | 0 |

|                                |          |          |
|--------------------------------|----------|----------|
| Other                          | 0        | 0        |
| Unknown                        | 0        | 0        |
| <b>Sub Totals</b>              | <b>0</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>0</b> |          |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |          |          |
|--------------------------------|----------|----------|
| English                        | 0        | 0        |
| Spanish                        | 0        | 0        |
| Cantonese                      | 0        | 0        |
| Mandarin                       | 0        | 0        |
| Vietnamese                     | 0        | 0        |
| Korean                         | 0        | 0        |
| Other                          | 0        | 0        |
| Unknown                        | 0        | 0        |
| <b>Sub Totals</b>              | <b>0</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>0</b> |          |



For Fiscal Year July 1, 2015 - June 30, 2016

**Result Type: Improved Child Development**  
**Service Type: Early Education Provider Programs**

**Provide the most recent compelling service outcome available for this service.**

The Child Care Health Linkages Program provides health and safety technical assistance and training to home and center based child care providers. Public Health Nurses assist child care providers with incorporating best practice standards for health and safety into their programs and supports them in their efforts to become nationally accredited. In Fiscal Year 2015-2016, the Child Care Health Linkages Program provided technical assistance to over 95 home and center based child care providers. Over 300 child care providers received education on health and safety to help meet best practices, accreditation and QRIS health and safety standards. Provider workshops were offered in both English and Spanish. Health and safety technical assistance was also provided over phone calls and e-mails for providers, community child care representatives, parents and community members.

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

During its first year of receiving First 5 Fresno County funding (FY10-11), the Child Care Health Linkages Program (CCHLP) served 325 clients, mainly providing services to center-based child care centers (46%) and a number of home-based child care centers (16%). Currently, the CCHLP plays a key role ensuring safe health standards for child care centers throughout Fresno County. During FY15-16, CCHLP partnered with the Fresno County Department of Public Health, Communicable Disease Division (CDI) to identify child care centers impacted by the Hand Foot and Mouth Disease (HFMD) outbreak, and providing information on licensing requirements, signs and symptoms, treatment, cleaning, and control measures to reduce the spread.

**Describe the measurement tool used in the evaluation to measure the outcome.**

Persimmony Database





For Fiscal Year July 1, 2015 - June 30, 2016

| <b>Provide a breakdown of the population served by the following demographic categories</b> |             |
|---|-------------|
| Children Less Than 3 Years Old  | 0           |
| Children from 3rd to 6th Birthday   | 0           |
| Children - Ages Unknown (birth to 6th Birthday)   | 0           |
| Parents/Guardians/Primary Caregivers  | 1           |
| Other Family Members  | 0           |
| Providers   | 1339        |
| <b>Total Population Served</b>  | <b>1340</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |          |          |
|---|----------|----------|
| Alaska Native/American Indian   | 0        | 0        |
| Asian   | 0        | 0        |
| Black/African-American  | 0        | 0        |
| Hispanic/Latino   | 0        | 1        |
| Pacific Islander  | 0        | 0        |
| White   | 0        | 0        |
| Multiracial   | 0        | 0        |
| Other   | 0        | 0        |
| Unknown   | 0        | 0        |
| <b>Sub Totals</b>   | <b>0</b> | <b>1</b> |
| <b>Total Population Served</b>  | <b>1</b> |          |



For Fiscal Year July 1, 2015 - June 30, 2016

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |          |          |
|--------------------------------|----------|----------|
| English                        | 0        | 0        |
| Spanish                        | 0        | 1        |
| Cantonese                      | 0        | 0        |
| Mandarin                       | 0        | 0        |
| Vietnamese                     | 0        | 0        |
| Korean                         | 0        | 0        |
| Other                          | 0        | 0        |
| Unknown                        | 0        | 0        |
| <b>Sub Totals</b>              | <b>0</b> | <b>1</b> |
| <b>Total Population Served</b> | <b>1</b> |          |

**Result Type: Improved Child Development**

**Service Type: Preschool Programs for 3 and 4 Year Olds**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |           |
|---|-----------|
| Children Less Than 3 Years Old  | 4         |
| Children from 3rd to 6th Birthday   | 7         |
| Children - Ages Unknown (birth to 6th Birthday)   | 0         |
| Parents/Guardians/Primary Caregivers  | 0         |
| Other Family Members  | 0         |
| Providers   | 0         |
| <b>Total Population Served</b>  | <b>11</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |   |   |
|---|---|---|
| Alaska Native/American Indian   | 0 | 0 |
| Asian   | 1 | 0 |
| Black/African-American  | 0 | 0 |
| Hispanic/Latino   | 4 | 0 |
| Pacific Islander  | 0 | 0 |
| White   | 2 | 0 |
| Multiracial   | 4 | 0 |

|                                |           |          |
|--------------------------------|-----------|----------|
| Other                          | 0         | 0        |
| Unknown                        | 0         | 0        |
| <b>Sub Totals</b>              | <b>11</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>11</b> |          |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |           |          |
|--------------------------------|-----------|----------|
| English                        | 10        | 0        |
| Spanish                        | 1         | 0        |
| Cantonese                      | 0         | 0        |
| Mandarin                       | 0         | 0        |
| Vietnamese                     | 0         | 0        |
| Korean                         | 0         | 0        |
| Other                          | 0         | 0        |
| Unknown                        | 0         | 0        |
| <b>Sub Totals</b>              | <b>11</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>11</b> |          |

**Result Type: Improved Child Development**

**Service Type: Infants, Toddlers, and All-Age Early Learning Programs**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |          |
|---|----------|
| Children Less Than 3 Years Old  | 2        |
| Children from 3rd to 6th Birthday   | 3        |
| Children - Ages Unknown (birth to 6th Birthday)   | 0        |
| Parents/Guardians/Primary Caregivers  | 0        |
| Other Family Members  | 0        |
| Providers   | 0        |
| <b>Total Population Served</b>  | <b>5</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |   |   |
|---|---|---|
| Alaska Native/American Indian   | 0 | 0 |
| Asian   | 1 | 0 |
| Black/African-American  | 0 | 0 |
| Hispanic/Latino   | 1 | 0 |
| Pacific Islander  | 0 | 0 |
| White   | 1 | 0 |
| Multiracial   | 2 | 0 |

|                                |          |          |
|--------------------------------|----------|----------|
| Other                          | 0        | 0        |
| Unknown                        | 0        | 0        |
| <b>Sub Totals</b>              | <b>5</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>5</b> |          |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |          |          |
|--------------------------------|----------|----------|
| English                        | 5        | 0        |
| Spanish                        | 0        | 0        |
| Cantonese                      | 0        | 0        |
| Mandarin                       | 0        | 0        |
| Vietnamese                     | 0        | 0        |
| Korean                         | 0        | 0        |
| Other                          | 0        | 0        |
| Unknown                        | 0        | 0        |
| <b>Sub Totals</b>              | <b>5</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>5</b> |          |

**Result Type: Improved Child Health**

**Service Type: Quality Health Systems Improvement**

**Provide the most recent compelling service outcome available for this service.**

Between FY07-08 and FY13-14, infant mortality among African Americans has increased by 87% in Fresno County. In response, First 5 Fresno County (F5FC) commissioned a needs assessment in FY2014-15 to understand this trend. Data was collected between January and July of 2015 (analyzed in FY2015-16), utilizing a mixed method assessment. Results indicated that poor birth outcomes among African American women are complex, involving a number of psychological, social, and economic factors that surround pregnancy and birth in addition to income, access to care, and health care coverage. F5FC mobilized a community “movement” to bring attention to this critical issue and facilitate collective approach to finding sustainable solutions. F5FC committed \$300K to launch implementation of the recommendations from the study by leveraging other resources and aligning with collective impact initiatives underway in Fresno County to deepen its intended outcome.

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

The results of the African American infant mortality study commissioned by First 5 Fresno County showed that African Americans in Fresno County disproportionately experience higher infant mortality rates than white residents (3.13 times higher rate). The study also found that that this is due in part to the challenges African American’s face in accessing some of the services that have been shown to be predictive factors for infant mortality, including child care and health care coverage. Given these findings, First 5 Fresno County made a commitment to address these disparities.

**Describe the measurement tool used in the evaluation to measure the outcome.**

“African American Infant Mortality in Fresno County,” prepared and published by the Central Valley Health Policy Institute, 11/4/15.

| <b>Provide a breakdown of the population served by the following demographic categories</b> |              |
|---|--------------|
| Children Less Than 3 Years Old  | 0            |
| Children from 3rd to 6th Birthday   | 0            |
| Children - Ages Unknown (birth to 6th Birthday)   | 0            |
| Parents/Guardians/Primary Caregivers  | 19750        |
| Other Family Members  | 0            |
| Providers   | 33           |
| <b>Total Population Served</b>  | <b>19783</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |              |              |
|---|--------------|--------------|
| Alaska Native/American Indian   | 0            | 139          |
| Asian   | 0            | 1899         |
| Black/African-American  | 0            | 925          |
| Hispanic/Latino   | 0            | 9417         |
| Pacific Islander  | 0            | 224          |
| White   | 0            | 6254         |
| Multiracial   | 0            | 411          |
| Other   | 0            | 481          |
| Unknown   | 0            | 0            |
| <b>Sub Totals</b>   | <b>0</b>     | <b>19750</b> |
| <b>Total Population Served</b>  | <b>19750</b> |              |

| <b>Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |              |              |
|--|--------------|--------------|
| English  | 0            | 0            |
| Spanish  | 0            | 0            |
| Cantonese  | 0            | 0            |
| Mandarin   | 0            | 0            |
| Vietnamese   | 0            | 0            |
| Korean   | 0            | 0            |
| Other  | 0            | 0            |
| Unknown  | 0            | 19750        |
| <b>Sub Totals</b>  | <b>0</b>     | <b>19750</b> |
| <b>Total Population Served</b>   | <b>19750</b> |              |



**Result Type: Improved Child Health**

**Service Type: Maternal and Child Healthcare**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |           |
|---|-----------|
| Children Less Than 3 Years Old  | 39        |
| Children from 3rd to 6th Birthday   | 0         |
| Children - Ages Unknown (birth to 6th Birthday)   | 0         |
| Parents/Guardians/Primary Caregivers  | 11        |
| Other Family Members  | 0         |
| Providers   | 0         |
| <b>Total Population Served</b>  | <b>50</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |    |   |
|---|----|---|
| Alaska Native/American Indian   | 0  | 0 |
| Asian   | 2  | 0 |
| Black/African-American  | 6  | 1 |
| Hispanic/Latino   | 27 | 8 |
| Pacific Islander  | 0  | 0 |
| White   | 2  | 0 |

|                                |           |           |
|--------------------------------|-----------|-----------|
| Multiracial                    | 2         | 2         |
| Other                          | 0         | 0         |
| Unknown                        | 0         | 0         |
| <b>Sub Totals</b>              | <b>39</b> | <b>11</b> |
| <b>Total Population Served</b> | <b>50</b> |           |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |           |           |
|--------------------------------|-----------|-----------|
| English                        | 23        | 7         |
| Spanish                        | 14        | 3         |
| Cantonese                      | 0         | 0         |
| Mandarin                       | 0         | 0         |
| Vietnamese                     | 0         | 0         |
| Korean                         | 0         | 0         |
| Other                          | 1         | 1         |
| Unknown                        | 1         | 0         |
| <b>Sub Totals</b>              | <b>39</b> | <b>11</b> |
| <b>Total Population Served</b> | <b>50</b> |           |

**Result Type: Improved Child Health**

**Service Type: Primary and Specialty Medical Services**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |            |
|---|------------|
| Children Less Than 3 Years Old  | 130        |
| Children from 3rd to 6th Birthday   | 71         |
| Children - Ages Unknown (birth to 6th Birthday)   | 0          |
| Parents/Guardians/Primary Caregivers  | 0          |
| Other Family Members  | 0          |
| Providers   | 0          |
| <b>Total Population Served</b>  | <b>201</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |     |   |
|---|-----|---|
| Alaska Native/American Indian   | 3   | 0 |
| Asian   | 6   | 0 |
| Black/African-American  | 29  | 0 |
| Hispanic/Latino   | 125 | 0 |
| Pacific Islander  | 0   | 0 |
| White   | 25  | 0 |
| Multiracial   | 9   | 0 |

|                                |            |          |
|--------------------------------|------------|----------|
| Other                          | 4          | 0        |
| Unknown                        | 0          | 0        |
| <b>Sub Totals</b>              | <b>201</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>201</b> |          |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |            |          |
|--------------------------------|------------|----------|
| English                        | 156        | 0        |
| Spanish                        | 38         | 0        |
| Cantonese                      | 0          | 0        |
| Mandarin                       | 0          | 0        |
| Vietnamese                     | 0          | 0        |
| Korean                         | 0          | 0        |
| Other                          | 7          | 0        |
| Unknown                        | 0          | 0        |
| <b>Sub Totals</b>              | <b>201</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>201</b> |          |



For Fiscal Year July 1, 2015 - June 30, 2016

**Result Type: Improved Child Health**  
GYfj ]W'5 fYU.'7 ca df\ Ybgjj Y'GWYYb]b[ 'UbX'5 ggYgga Ybh

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**



For Fiscal Year July 1, 2015 - June 30, 2016

| <b>Provide a breakdown of the population served by the following demographic categories</b> |             |
|---|-------------|
| Children Less Than 3 Years Old  | 1667        |
| Children from 3rd to 6th Birthday   | 2243        |
| Children - Ages Unknown (birth to 6th Birthday)   | 952         |
| Parents/Guardians/Primary Caregivers  | 35          |
| Other Family Members  | 7           |
| Providers   | 0           |
| <b>Total Population Served</b>  | <b>4904</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |             |           |
|---|-------------|-----------|
| Alaska Native/American Indian   | 10          | 0         |
| Asian   | 94          | 0         |
| Black/African-American  | 195         | 2         |
| Hispanic/Latino   | 2382        | 13        |
| Pacific Islander  | 1           | 0         |
| White   | 434         | 8         |
| Multiracial   | 277         | 1         |
| Other   | 1469        | 11        |
| Unknown   | 0           | 0         |
| <b>Sub Totals</b>   | <b>4862</b> | <b>35</b> |
| <b>Total Population Served</b>  | <b>4897</b> |           |



For Fiscal Year July 1, 2015 - June 30, 2016

Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.

|                                |             |           |
|--------------------------------|-------------|-----------|
| English                        | 3356        | 22        |
| Spanish                        | 667         | 1         |
| Cantonese                      | 0           | 0         |
| Mandarin                       | 0           | 0         |
| Vietnamese                     | 0           | 0         |
| Korean                         | 0           | 0         |
| Other                          | 17          | 1         |
| Unknown                        | 822         | 11        |
| <b>Sub Totals</b>              | <b>4862</b> | <b>35</b> |
| <b>Total Population Served</b> | <b>4897</b> |           |

**Result Type: Improved Child Health**

**Service Type: Targeted Intensive Intervention for Identified Special Needs**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |            |
|---|------------|
| Children Less Than 3 Years Old  | 70         |
| Children from 3rd to 6th Birthday   | 21         |
| Children - Ages Unknown (birth to 6th Birthday)   | 0          |
| Parents/Guardians/Primary Caregivers  | 16         |
| Other Family Members  | 1          |
| Providers   | 14         |
| <b>Total Population Served</b>  | <b>122</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |    |   |
|---|----|---|
| Alaska Native/American Indian   | 1  | 2 |
| Asian   | 1  | 1 |
| Black/African-American  | 4  | 1 |
| Hispanic/Latino   | 52 | 9 |
| Pacific Islander  | 0  | 0 |
| White   | 24 | 2 |



|                                |            |           |
|--------------------------------|------------|-----------|
| Multiracial                    | 9          | 1         |
| Other                          | 0          | 0         |
| Unknown                        | 0          | 0         |
| <b>Sub Totals</b>              | <b>91</b>  | <b>16</b> |
| <b>Total Population Served</b> | <b>107</b> |           |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |            |           |
|--------------------------------|------------|-----------|
| English                        | 68         | 12        |
| Spanish                        | 21         | 3         |
| Cantonese                      | 0          | 0         |
| Mandarin                       | 0          | 0         |
| Vietnamese                     | 1          | 1         |
| Korean                         | 0          | 0         |
| Other                          | 1          | 0         |
| Unknown                        | 0          | 0         |
| <b>Sub Totals</b>              | <b>91</b>  | <b>16</b> |
| <b>Total Population Served</b> | <b>107</b> |           |

**Result Type: Improved Child Health**

**Service Type: Safety Education and Injury Prevention**

**Provide the most recent compelling service outcome available for this service.**

**Provide the comparison data used to determine whether the service outcome was an improvement and specify the origin of the data.**

**Describe the measurement tool used in the evaluation to measure the outcome.**

| <b>Provide a breakdown of the population served by the following demographic categories</b> |           |
|---|-----------|
| Children Less Than 3 Years Old  | 23        |
| Children from 3rd to 6th Birthday   | 17        |
| Children - Ages Unknown (birth to 6th Birthday)   | 0         |
| Parents/Guardians/Primary Caregivers  | 0         |
| Other Family Members  | 0         |
| Providers   | 0         |
| <b>Total Population Served</b>  | <b>40</b> |

| <b>Provide breakdown of the population served by ethnic or racial category. Report children separate from Parents, Guardians, and Primary Caregivers.</b> |    |   |
|---|----|---|
| Alaska Native/American Indian   | 0  | 0 |
| Asian   | 3  | 0 |
| Black/African-American  | 4  | 0 |
| Hispanic/Latino   | 24 | 0 |
| Pacific Islander  | 0  | 0 |
| White   | 7  | 0 |
| Multiracial   | 2  | 0 |

|                                |           |          |
|--------------------------------|-----------|----------|
| Other                          | 0         | 0        |
| Unknown                        | 0         | 0        |
| <b>Sub Totals</b>              | <b>40</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>40</b> |          |

**Provide a breakdown of the population served by the language that they primarily speak at home. Report children separate from Parents, Guardians, and Primary Caregivers.**

|                                |           |          |
|--------------------------------|-----------|----------|
| English                        | 23        | 0        |
| Spanish                        | 14        | 0        |
| Cantonese                      | 0         | 0        |
| Mandarin                       | 0         | 0        |
| Vietnamese                     | 0         | 0        |
| Korean                         | 0         | 0        |
| Other                          | 3         | 0        |
| Unknown                        | 0         | 0        |
| <b>Sub Totals</b>              | <b>40</b> | <b>0</b> |
| <b>Total Population Served</b> | <b>40</b> |          |

## **Result Type: Improved Systems of Care**

**Service Type: Public Education and Information**

### **Who was the primary audience for the service?**

The Esta En Ti Campaign is a year-long Spanish-language media partnership to help reach parents, caregivers and the broader community with messages about issues impacting children ages 0 to 5 and their families.

### **What were the types of services provided?**

With the Esta En Ti Campaign, monthly public service announcements were developed and aired on Univision 21 to increase awareness about important topics. Messaging on social media increased reach to families across Fresno County and television and radio interviews with community leaders and organizations further informed the public about the monthly topics and available resources.

### **What was the intended result of the service? What was the community impact of the service?**

The Esta En Ti Campaign was intended to increase awareness of early childhood development among Spanish-speaking parents, caregivers and the general community. With this partnership, families in Fresno County were provided with information about important topic areas to help them make sound decisions about the health and development of young children. In collaboration with community leaders, experts in specific topic areas, Univision 21 and First 5 Fresno County, topics were discussed in television and radio interviews throughout the month where tips, resources and contact information were made available for families to get connected with services.

**Provide a description of the evaluation activities completed during the fiscal year**

Building on First 5 Fresno County's 2013 – 2020 F5FC Strategic Plan framework, the evaluation approach continues to implement a mixed method approach to closely assess the outcomes for children and families around health promotion, early learning, and strong families. In addition to addressing the priorities laid out in the F5FC Strategic Plan, the evaluation approach in Fiscal Year 2015-2016 continues to evaluate and strengthen ongoing evaluation activities, and engage in new activities that reflect the evaluation needs of F5FC and its partners. The evaluation methods for FY2015-16 are described below.

1. School Readiness Longitudinal Study: The School Readiness Longitudinal Study is a five-year longitudinal study that has followed preschool aged children who are (a) scheduled to enter Kindergarten in Fall 2014 and (b) representative of participation in F5FC services. In its third year during FY15-16, the study's cohort continues to follow children through the 3rd grade. The purpose of this study is to assess the link between early childhood experiences and academic success in school through the 3rd grade. This will be instrumental in providing support for the impact of early childhood and school readiness services on children's later school achievement through the 3rd grade. Multiple research instruments and databases are used including interviews with parents, preschool/kindergarten survey, Persimmony client level data, KSEP data, and secondary school district data.

2. Rural Needs Assessment: With F5FC's renewed commitment to Fresno County's rural communities, part of FY15-16's evaluation work focused on conducting a rural needs assessment to provide F5FC with information about Fresno County's rural landscape, generally, as well as about particular communities of interest. After engaging with F5FC-funded service providers and considering the demographic characteristics of various rural communities, F5FC chose to conduct this assessment with a focus on two communities: Huron and Mendota. This needs assessment used a mixed method approach, collecting information to inform the assessment using four different methodologies conducted from Fall 2015 to Spring 2016: focus groups with service providers, a service provider survey, a family intercept survey, and family asset mapping sessions.

3. Birth Through Third Grade Challenge: F5FC launched the Fresno County Birth Through Third Grade Challenge (B3 Fresno County) in 2012 as a pilot project to design and implement a place-based model that addresses the third grade reading gap in the county. After a year of design, five schools were selected to design a birth through third grade continuum for their school and communities focused on fostering partnerships across multiple sectors, and providing additional supports to families within their respective districts. After two years of implementation, First 5 Fresno County and their partners commissioned an exploratory study to find lessons learned, identify opportunities to scale the B3 model, and note areas for improvement. The study used a mixed methods approach, conducting a document review, interviews with B3 Fresno County leadership and focus groups with Professional Learning Community members.

4. Client Level Data: Demographic and service provision data was gathered by service providers and entered into Persimmony International Database. The data was routinely monitored and cleaned to ensure highest quality and accuracy. Data was exported and analyzed to summarize the clients served by F5FC funded service providers and services received.

## **Describe the evaluation findings reported during the fiscal year**

Key evaluation findings focus on the Rural Needs Assessment. Findings for the School Readiness Longitudinal Study, Fresno County Birth Through Third Grade Challenge, and the Client Level Data are still under development.

Fresno County's rural communities face a limited service infrastructure. Although both Huron and Mendota are home to a few organizations that provide family programs and services, the number of organizations cannot meet the needs of families in their respective communities, and families have to often travel to other parts of the county to access services, such as health care and youth programs. Parents interviewed in each community through an intercept survey suggested the local provision of childcare, parenting classes, and job training and job search support are prominent needs.

Rural families often face barriers that keep them from accessing the services they need. In addition to the lack of service provision in rural communities, parents explained that there are additional barriers they face that prevent them from accessing services. These barriers include limited public and private transportation and the cost of travel. Latino/a, Spanish-speaking families are even less likely to access services because of language and communication barriers, as well as cultural stigmas and a distrust of service providers. This is especially true for the undocumented immigrant community.

From the service provision perspective, service providers also face barriers in providing services to rural communities. Two focus groups, one in Huron and one in Mendota, and a service provider survey were administered to inform the Rural Needs Assessment. Through these data collection methods, service providers were able to share the challenges they face and provide suggestions for improving the countywide service system. Service providers generally reported various service provision challenges, including limited funding and staff capacity, and challenges understanding the community and addressing each community's specific needs. Given these challenges, service providers recommended improving the service system by expanding resources, promoting more communication and coordination between service providers, and encouraging the creation of sustainable partnerships between service providers.

First 5 Fresno County plays an important role as a convener and coordinator in Fresno County. When asked how F5FC could play a role in improving the service system in Fresno County, many service providers indicated that F5FC's relationships with countywide organizations place it in a unique spot to create partnerships with rural communities by engaging key community stakeholders, and convening service providers to communicate and coordinate their efforts.

## **Describe the policy impact of the evaluation results**

How were the results communicated to the county commission?: Harder + Company Community Research team will present the RNA report to the Commission, staff and stakeholders in the upcoming December Commission meeting to share the assessment approach, findings, and discuss emerging recommendations to guide decision-making processes for future investments.

Public meetings will be held in the two communities that the RNA studied to share findings and engage the community, including parents, leaders, and providers working with young children and their families, to reflect together on key findings and invite them to offer input on possible next steps to address specific community needs as outlined in the RNA. Recommendations that emerged from the study and community discussions will be brought back to the Commission to consider options for implementation.

What decisions were made based on the evaluation results?: The B3 Exploratory Study identified opportunities to sustain and scale the B3 model in Fresno County. Suggestions from the study for improving the Commission's continued effort are guiding strategic discussions among key partners, including First 5 Fresno County, County Office of Education, and David and Lucile Packard Foundation to plan for Phase 2 of the B3 Initiative.

What funding strategy changes were made or anticipated to be made?: Planning and discussion is underway to explore scaling and the sustainability of B3 efforts in Fresno County. One recommendation suggests that Fresno County Office of Education (FCOE) take a lead role in creating a professional development system to support school districts around B3 work, with F5FC focusing on connecting and building relationships between 0-5 service providers and school districts. F5FC is partnering with FCOE and other collaborators to explore viable options that both the Commission and the community may consider. Once options are identified, necessary steps will be taken to ensure seamless transition and allocation of adequate resources are in place to support the effort moving forward.

Lessons learned: F5FC recognizes that it cannot achieve its goal of ensuring all children reach their full potential alone and needs to work cohesively and collectively with other community partners who share similar goals and responsibilities to achieve this. Finding solutions that bring the broader community together informs community actions and ensures greater alignment of efforts across all sectors.

How will the information be used to update the county strategic plan?: A review of the 2013-2020 F5FC Strategic Plan confirmed that the Commission's direction and objectives are well aligned with community needs. The Commission released three procurements aimed at improving the quality of services in early learning settings, enhancing the early developmental and surveillance system, and expanding parent support and education services throughout the county.



## **Annual Report Guidelines**

**Fiscal Year 2015–16**



## Table of Contents

|  |    |
|--|----|
| County Revenue and Expenditure Summary (AR-1) Overview .....             | 3  |
| County Revenue and Expenditure Summary (AR-1) Instructions .....         | 4  |
| County Demographic Worksheet (AR-2) Overview .....                       | 11 |
| County Demographic Worksheet (AR-2) Instructions.....                    | 13 |
| County Evaluation Summary (AR-3) Overview .....                          | 19 |
| County Evaluation Summary (AR-3) Instructions .....                      | 20 |
| Mapping Programs to Services .....                                       | 22 |
| Supplemental Schedule of First 5 California Funding (Attachment 1) ..... | 25 |

# County Revenue and Expenditure Summary (AR-1) Overview

## Purpose

The County Revenue and Expenditure Summary form (AR-1) captures county commission fiscal data that accurately and clearly shows the relationship between financial resources and services. The fiscal data must be consistent with Generally Accepted Accounting Principles (GAAP) and each commission's audited financial statements. The following outlines the requirements for completing the AR-1:

- All Fiscal Year (FY) 2015–16 funds received by the commission and recognized as revenue in the audited financial statements
- All FY 2015–16 expenditures or encumbrances, regardless of funding source, for a commission-run program or an externally-run program

## Standards

The Annual Report format is consistent with the Governmental Accounting Standards Board's (GASB) national standards for governmental financial reporting and the First 5 Financial Management Guide, maintained by the First 5 Association of California.

## Resources

The following resources should be used to complete the AR-1:

- First 5 Financial Management Guide
- The State Controller's Office Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act (First 5)
- Prior year county commission audited financial statements
- GASB Codification of Governmental Accounting and Financial Reporting Standards

## Technical Assistance Contact

All technical assistance questions should be directed to the following:

- First 5 California's main line: 916-263-1050
- E-mail: [annualreport@ccfc.ca.gov](mailto:annualreport@ccfc.ca.gov)

## Deadline

All forms are due to First 5 California by 11:59 p.m. on October 31, 2016.

## County Revenue and Expenditure Summary (AR-1) Instructions

### Purpose

This section provides a list of the auto-generated information and instructions on how to complete the following sections of the AR-1:

- Revenue Detail
- Results and Services – Expenditure Detail
- Expenditure Details
- Other Financing Sources
- Net Change in Fund Balance
- FY 2015–16 Fund Balance

### Form Auto-Generated Information

All forms populate the county name, date, and some totals automatically. The date is updated each time the form is accessed before final submission. Once the form is submitted it may be edited and resubmitted, up to the deadline submission date.

### Revenue Detail

The Revenue Detail section must include total revenue from tobacco tax, First 5 California funds, other state and federal funds, and other revenue. The following specifies what must be inserted into each cell to complete the Revenue Detail:

| Title                 | Description  |
|-----------------------|--|
| Tobacco Tax Funds     | Total Proposition 10 tobacco tax revenue   |
| CARES Plus, Round 2   | Total Comprehensive Approaches to Raising Educational Standards (CARES) Plus Program, Round 2 Funds received from First 5 California and other sources |
| CSP, RFA 1, Extension | Total Child Signature Program (CSP) RFA 1 funds received from First 5 California and other sources   |
| CSP, RFA 3, Extension | Total CSP RFA 3 funds received from First 5 California and other sources   |
| IMPACT                | Total Improve and Maximize Programs and Children Thrive (F5 IMPACT) funds received from First 5 California and other sources                           |

| Title                           | Description  |
|---------------------------------|--|
| Small County Augmentation Funds | Small Population County Fund Augmentation received from First 5 California   |
| Other Funds                     | Provide a brief description of other funds (source and/or use) received in the three available text boxes  |
| Grants                          | Provide grant title and a brief description of grant revenue received from other sources in the three available text boxes                                 |
| Donations                       | Total donations received by county commission  |
| Revenue from Interest Earned    | Amount of county interest earned in all Children and Families Trust Fund revenue accounts during FY 14-15, including Surplus Money Investment Funds (SMIF) |
| Total Revenue                   | Automatically generated<br><b>Note:</b> Must match audited financial statements  |

### Results and Services – Expenditure Details

First 5 California defines four Result Areas that counties must strive to achieve: Improved Family Functioning, Improved Child Development, Improved Child Health, and Improved Systems of Care. This section requires county commissions to specify total expenditures related to services under each Result Area. Consult Result Area and Service Definitions in the Appendix for additional information.

The table on the next page specifies what must be inserted into each cell to complete the Results and Services – Expenditure Details.

| Title   | Description   |
|---|---|
| <b>Result Area 1: Improved Family Functioning</b>       |   |
| Community Resource and Referral                         | Expenditures for each service   |
| Distribution of Kit for New Parents                     |   |
| Adult and Family Literacy Programs                      |   |
| Targeted Intensive Family Support Services              |   |
| General Parenting Education and Family Support Programs |   |
| Quality Family Functioning Systems Improvement          | Use corresponding text box to enter a description of service provided     |
| Total   | Automatically generated   |
| <b>Result Area 2: Improved Child Development</b>        |   |
| Preschool Programs for 3- and 4-Year Olds               | Expenditures for each service   |
| Infants, Toddlers, and All-Age Early Learning Programs  |   |
| Early Education Provider Programs                       |   |
| Kindergarten Transition Services                        |   |
| Quality ECE Investments                                 | Use corresponding text box to enter a description of the service provided |
| Total   | Automatically generated   |

| Title  | Description   |
|--|---|
| <b>Result Area 3: Improved Child Health</b>                  |   |
| Nutrition and Fitness  | Expenditures for each service   |
| Health Access  |   |
| Maternal and Child Health Care                               |   |
| Oral Health  |   |
| Primary and Specialty Medical Services                       |   |
| Comprehensive Screening and Assessments                      |   |
| Targeted Intensive Intervention for Identified Special Needs |   |
| Safety Education and Injury Prevention                       |   |
| Tobacco Education and Outreach                               |   |
| Quality Health Systems Improvement                           | Use corresponding text box to enter a description of the service provided |
| Total  | Automatically generated   |
| <b>Result Area 4: Improved Systems of Care</b>               |   |
| Policy and Broad Systems-Change Efforts                      | Expenditures for each service   |
| Organizational Support                                       |   |
| Public Education and Information                             |   |
| Total  | Automatically generated   |

### Expenditure Detail

The Expenditure Detail section provides a summary of program, administrative, and evaluation expenditures. Refer to the First 5 Financial Management Guide for detailed expenditure specifications. The table on the next page specifies what must be inserted into each cell to complete the Expenditure Detail section.

| Title   | Description   |
|---|---|
| FY 2015–16 Program Expenditures                       | Automatically generated after Results and Services – Expenditure Detail section is completed. Use Expenditure Notes section at end of the AR-1 to explain significant differences from FY 2014–15 (e.g., capital expenditures)  |
| FY 2015–16 Administrative Expenditures                | Total Administrative Expenditures for FY 2015–16; <u>do not include</u> : <ul style="list-style-type: none"> <li>• Direct program costs (outreach, education, or technical assistance)</li> <li>• Direct evaluation costs (education or technical assistance)</li> <li>• Other grantee capacity building</li> </ul> |
| FY 2015–16 Evaluation Expenditures                    | Total Evaluation Expenditures for FY 2015–16; <u>do not include</u> : <ul style="list-style-type: none"> <li>• Administrative costs</li> <li>• Direct program costs (outreach, education, or technical assistance)</li> <li>• Other grantee capacity building</li> </ul>  |
| Total Expenditures                                    | Automatically generated<br><b>Note:</b> Must match audited financial statements   |
| Excess (Deficiency) of Revenues over (Under) Expenses | Automatically generated   |

### Other Financing Sources

The Other Financing Sources section captures transactions that do not fall into one of the pre-defined categories. The following table specifies what must be inserted into each cell to complete the Other Financing Sources section:

| Title                         | Description   |
|-------------------------------|---|
| Sale(s) of Capital Assets     | Enter the amount received in FY 2015–16 from any sale of capital assets   |
| Other: Specify Source         | Enter other financing activities, such as general issuance of debt, underwriter’s fees, debt-financed capital grants, etc. and describe source of funds in text box |
| Total Other Financing Sources | Automatically generated   |

## Net Change in Fund Balance

The Net Change in Fund Balance section captures year-to-year changes in county commission fund balances and must agree with the governmental fund financial statements. The following table specifies what must be inserted into each cell to complete the Net Change in Fund Balance section:

| Title                                    | Description   |
|--|---|
| Fund Balance – Beginning<br>July 1, 2015 | Enter end of year fund balance from FY 2014–15 financial statements, with adjustments if applicable |
| Fund Balance – Ending<br>June 30, 2016   | Automatically generated   |
| Net Change in Fund<br>Balance            | Automatically generated   |

## FY 2015–16 Fund Balance

This section collects data related to FY 2015–16 county commission fund balances and must match the audited financial statements. Refer to the First 5 Financial Management Guide for detailed fund balance specifications. The following table specifies what must be inserted into each cell to complete the FY 2015–16 Fund Balance section:

| Title              | Description   |
|--------------------|---|
| Nonspendable       | Amounts not in spendable form (inventory, prepaid items, etc.) or legally or contractually required to be maintained intact   |
| Restricted         | Amounts subject to externally enforceable legal restrictions on use. Restrictions can be further defined as either 1) externally imposed by creditors, grantors, contributors, or laws and regulations of other governments, or 2) imposed by law through constitutional provisions or enabling legislation |
| Committed          | Limitation imposed at the highest level of decision making, requiring formal action at the same level to modify or remove   |
| Assigned           | Portion of fund balance reflecting the commission’s intended use of resources, which is established by the highest level of decision making, or body or an official designated for that purpose   |
| Unassigned         | Spendable amounts not contained in other fund balance classifications   |
| Total Fund Balance | Automatically generated   |



## **Expenditure Notes**

The Expenditure Notes section provides 1,000 characters of text space for county commissions to document issues pertinent to expenditure data reported in the AR-1.

## **Supplemental Schedule of First 5 California Funding**

County commissions shall report audited financial information for any First 5 California funds received for programs or projects. The audited financial information shall include the following:

1. Program/Project Title
2. Beginning program/project balance as of July 1, should equal ending balance of Program/Project funds, or "Net Assets – End of FY," from prior year schedule
3. F5CA revenue for each program/project
4. Expenditures for each program/project, broken down by First 5 California-funded and county/local funds (cash match)
5. Ending program/project balance as of June 30

Attachment 1 provides an example format of the Supplemental Schedule of First 5 California Funding.

## **Expenditures to Include**

The AR-1 should reflect the following:

- Total expenditures related to each service category for FY 2015–16
- All expenditures, regardless of funding source, for a commission-run or an externally-run program

## **Expenditures Not to Include**

The following expenditures should not be included:

- In-kind funds
- Any funds that do not flow directly through county accounts
- Non-cash matches

## County Demographic Worksheet (AR-2) Overview

### Purpose

The purpose of the County Demographic Worksheet (AR-2) is to capture service, outcome, and demographic data about the population county commission programs serve. The AR-2 is an important element in the statewide Annual Report because it provides demographic information within the common structure of Results and Services, enabling stakeholders to clearly see how resources are allocated and spent. Refer to the Annual Report Appendix for additional information.

### Accurate Demographic Data

To ensure submission of accurate demographic data in the AR-2:

- Provide demographic information on individuals who received services for Improved Family Functioning, Improved Child Development, and Improved Child Health only
- Prepare one Demographic Worksheet for each service category displayed in the AR-1, Results and Services – Expenditure Detail section
- Provide unduplicated counts of populations served, including breakdowns by ethnicity and primary language spoken in the home
- Document in the Expenditure Notes of AR-1 if county reports expenditures, but has no service data

**Note:** Zero is an allowed entry for service data during the implementation phase of a program.

### How to Report Demographic Data

The following table provides guidance on reporting demographic data under the proper Result and Service areas:

| Type       | Report   | Examples/Notes  |
|------------|--|---|
| Mini-Grant | In applicable Result Area and Service category, depending on the type of program and level of participant data available | <b>Note:</b> If county reports expenditures, but has no service data, enter zero for service data and document in the Expenditure Notes section of the AR-1 |

| Type   | Report   | Examples/Notes  |
|--|--|---|
| Provider Training  | <p>In applicable Result Area and Service category if training is linked to the provision of a direct service specified in a Result Area</p> <p>In Result – Improved Systems of Care if general purpose training, general education training to multiple types of providers, or presenting in a large venue where participant data is difficult to obtain</p> | <p>Example: Report public health nurse training on oral screening under Result – Improved Child Health, Service – Oral Health</p> |
| CARES Training for Providers   | Under Result – Improved Child Development, Service – Early Education Provider Programs   |   |
| County has two health programs, one for breastfeeding assistance, and one for oral health services | Report these services separately using two County Demographic Worksheets, both under Result – Improved Child Health, one under Service – Maternal and Child Health Care and one under Service – Oral Health  |   |
| Children’s playgroups  | Under Result – Improved Child Development, Service – Infants, Toddlers, and All-Age Early Learning Programs  |   |

**Technical Assistance Contact**

All technical assistance questions pertaining to the AR-2 should be directed to the following:

- First 5 California’s main line: 916-263-1050
- E-mail: [annualreport@ccfc.ca.gov](mailto:annualreport@ccfc.ca.gov)

**Deadline**

All forms are due to First 5 California by 11:59 pm, October 31, 2016.

## County Demographic Worksheet (AR-2) Instructions

### Purpose

All counties must complete one County Demographic Worksheet for each service category reported in AR-1, Results and Services – Expenditure Detail section. This section provides a list of the auto-generated information and instructions on how to complete the following sections of the AR-2:

- Result/Service
- Most Recent Compelling Service Outcome
- Benchmark/Baseline Data
- Outcome Measurement Tool
- Population Served
- Ethnic Breakdown of Population Served
- Primary Language Spoken in the Home
- Improved Systems of Care

### Form Auto-Generated Information

All forms automatically populate the county name, date, and some totals. The date is updated each time the form is accessed before final submission. Once the form is submitted it may be edited and resubmitted, up to the deadline submission date.

### Result/Service Choices

When creating a new AR-2 form, county commissions must use the drop-down menu to select one of the four Result Areas and the appropriate Service for the selected result. See Result Area and Service Definition in the Appendix for Service definitions. After selecting the Result Area and Service, the rest of the form will open. Counties may only submit one AR-2 for each Result/Service combination.

Complete demographic information in the Improved Family Functioning, Improved Child Development, and Improved Child Health Result Areas only. The Improved Systems of Care Result Area requires completion of three narrative questions, in lieu of demographic information.

First 5 California is aware that some participants may be reported in multiple Service categories, but requests counties make every reasonable effort to report unduplicated counts of individuals in each Service category.

### Most Recent Compelling Service Outcome

Choosing from your county commission annual evaluation findings, provide the most recent compelling service outcome available (1,000 characters maximum) for at least two services from any of the four Result Areas. Concisely describe the outcome in the

text box and include the time period in which these data were collected (may include previous fiscal year data, if analyzed during current fiscal year). For outcomes that cover more than one service category, choose the service most closely associated with the outcome. See General Definitions in the Appendix for definition of “Compelling Outcome.”

**Benchmark/Baseline Data**

In the Benchmark/Baseline Data text box, provide the comparison data used (1,000 characters maximum) to determine whether the service outcome was an improvement and specify the origin of the data (see Outcome examples A and B). These data could include already observed data, such as data in the California Health Interview Survey, or it could be baseline data observed in the first year of the program.

If you are using baseline data to measure improvement and it is the first year of the program, enter N/A and use these data for benchmark/baseline data for comparison in future reports (see Outcome example C).

If the outcome data are not based on a comparison, enter N/A (see Outcome example D).

See General Definitions in the Appendix for definition of “Benchmark/Baseline Data.”

**Outcome Measurement Tool**

In the text box, describe the measurement tool (300 characters maximum) used in the evaluation to measure the outcome. Tools may include surveys, assessment tool scores, case management data, etc.

**Outcome Examples**

The following table provides examples of outcomes, benchmark/baseline data, and outcome measurement tools:

| <b>Compelling Outcome</b>   | <b>Benchmark/Baseline Data</b>  | <b>Outcome Measurement Tool</b> |
|---|---|---------------------------------|
| <p><b>Example A</b></p> <p>In March 20XX, 40% of preschoolers in the ABC Program received a fluoride treatment within six months of entry into preschool.</p> | <p>In March 20XX, 20% of preschoolers in the ABC Program received a fluoride treatment within six months of entry into preschool.</p> | <p>Parent Survey</p>            |

| <b>Compelling Outcome</b>  | <b>Benchmark/Baseline Data</b>  | <b>Outcome Measurement Tool</b>  |
|--|---|--|
| <b>Example B</b>   |   |  |
| As a result of the Home Visitation Program for Newborns, 90% of newborns were still being breastfed six months after birth (data from FY XX/XX). | A county-wide survey administered in September 20XX reported that only 50% of newborns were still being breastfed six months after birth. | A survey of 27 program participants administered on the 6-month anniversary of their first home visit. |
| <b>Example C</b>   |   |  |
| 75% of children assessed improved on 50% or more of DRDP-R domains. Assessments were given in Sept. 20XX and May 20XX.                           | N/A   | Modified Desired Results Developmental Profile (DRDP-R)  |
| <b>Example D</b>   |   |  |
| A FY XX/XX community event survey found 85% of persons answered, "Yes, I made a new and strong connection to a neighbor."                        | N/A   | Community Event Exit Survey  |

### Population Served

The Population Served section captures unduplicated counts of persons who participated in activities or received services directly from program staff or volunteers. For guidance on reporting children and/or adults, see Population Reporting by Result Area in the Appendix. The following table specifies what must be inserted into each cell to complete the Population Served section of the AR-2:

| <b>Title</b>  | <b>Insert</b>  |
|---|--|
| Children Less than 3 Years Old                              | Unduplicated counts of persons who participated in activities or received services directly from program staff or volunteers for each category |
| Children from 3 <sup>rd</sup> to 6 <sup>th</sup> Birthday   |  |
| Children – Ages Unknown (birth to 6 <sup>th</sup> Birthday) |  |
| Parents/Guardians/Primary Caregivers                        |  |
| Other Family Members  |  |
| Providers   |  |
| Total Population Served                                     | Automatically Generated  |

## Ethnic Breakdown of Population Served

The Ethnic Breakdown of Population Served section captures unduplicated counts of persons by ethnic or racial category that best describes the program participant. These categories are consistent with U.S. Census definitions, with the addition of the “Hispanic/Latino” category. The following table specifies what must be inserted into each cell to complete the Ethnic Breakdown of Population Served section of the AR-2:

| Title                         | Insert  |
|-------------------------------|---|
| Alaska Native/American Indian | Unduplicated counts of persons who participated in activities or received services directly from program staff or volunteers for each category  |
| Asian                         |   |
| Black/African-American        |   |
| Hispanic/Latino               |   |
| Pacific Islander              |   |
| White                         |   |
| Multiracial                   |   |
| Other – Specify               | Unduplicated counts of persons who participated in activities or received services directly from program staff or volunteers for the most prevalent other ethnic/racial group for which there is no category; specify the category in the text box                                |
| Unknown                       | Unduplicated counts of persons who participated in activities or received services directly from program staff or volunteers if the data was not collected, or if the participant does not identify with the other ethnic categories and is not included in the count for “other” |
| Subtotals                     | Automatically generated<br><br><b>Note:</b> The subtotal of the Children and Parents/Guardians/ Primary Caregivers columns must match the corresponding totals in the Population Served section.  |
| Total Population Served       | Automatically generated   |

## Primary Language Spoken in the Home

The Primary Language Spoken in the Home section captures the primary language predominantly or exclusively spoken at home for the population served. If the participant is considered bilingual, counties must enter the language that is most likely the dominant language in the home. Refer to Population Definitions in the Appendix for definition of “Bilingual.” The following table specifies what must be inserted into each cell to complete the Primary Language Spoken in the Home section of the AR-2:

| Title                   | Insert  |
|-------------------------|---|
| English                 | Unduplicated counts of persons who participated in activities or received services directly from program staff or volunteers for each category  |
| Spanish                 |   |
| Cantonese               |   |
| Mandarin                |   |
| Vietnamese              |   |
| Korean                  |   |
| Other – Specify         | Unduplicated counts of persons who participated in activities or received services directly from program staff or volunteers for up to three of the most prevalent other languages for which there is no category; specify the category in the text box                             |
| Unknown                 | Unduplicated counts of persons who participated in activities or received services directly from program staff or volunteers if the data was not collected or if the participant does not identify with the other language categories and is not included in the counts for “other” |
| Subtotals               | Automatically generated<br><br><b>Note:</b> The subtotal of the Children and Parents/Guardians/ Primary Caregivers columns must match the corresponding totals in the Population Served section.  |
| Total Population Served | Automatically generated   |



## Improved Systems of Care

For each Service category reported, answer the **additional** questions below to complete the Improved Systems of Care narrative.

| Question   | Examples   |
|--|--|
| Who was the primary audience for the service?<br>(500 characters maximum)  | <ul style="list-style-type: none"> <li>• Dental technicians</li> <li>• Preschool teachers, assistants, and parents of preschool children</li> <li>• Faith-based community leaders</li> </ul>   |
| What were the types of services provided?<br>(500 characters maximum)  | <ul style="list-style-type: none"> <li>• Instructions on preparing young children for a dental examination</li> <li>• Discussions on the best way for teachers and parents to communicate about a child's progress</li> <li>• Speech about the importance of early childhood education (ECE) and the importance of community support for parents and young children</li> </ul> |
| What was the intended result of the service? What was the community impact of the service?<br>(1,000 characters maximum) | <ul style="list-style-type: none"> <li>• Parents have a user-friendly resource directory at hand for community services</li> <li>• Spanish-speaking families have improved access to ECE services and supports</li> <li>• Parents and caregivers spend more time interacting with young children and less time watching television</li> </ul>                                  |

## County Evaluation Summary (AR-3) Overview

### Purpose

This section provides a standardized format for county commissions to submit information about their evaluation activities and their Local Evaluation Reports.

### Submitting Local Evaluation Report

County commissions must submit their Local Evaluation Report in Adobe Acrobat format to [statutorydocuments@ccfc.ca.gov](mailto:statutorydocuments@ccfc.ca.gov), subject line: <county name> Local Evaluation Report. Counties must submit all Local Evaluation Reports completed or updated during the prior fiscal year.

### Technical Assistance Contact

All technical assistance questions pertaining to the AR-3 should be directed to the following:

- First 5 California's main line: 916-263-1050
- E-mail: [annualreport@ccfc.ca.gov](mailto:annualreport@ccfc.ca.gov)

### Deadline

All forms are due to First 5 California by 11:59 pm, October 31, 2016.

## County Evaluation Summary (AR-3) Instructions

### Purpose

This section provides instructions on how to complete the following sections of the County Evaluation Summary (AR-3):

- Evaluation activities completed
- Evaluation findings reported
- Policy impact of evaluation results

### Evaluation Activities Completed

Describe an overview of the county commission directed evaluation activities during the fiscal year (4,000 characters maximum). Include evaluations of prior year programs conducted during the current fiscal year. Examples include:

- Evaluation of specific programs or initiatives
- Collection of participant and outcome data for populations served
- Comparison of results from similar programs/initiatives
- Design of future evaluation studies

### Evaluation Findings Reported

Describe evaluation findings related to programs during or prior to the fiscal year (4,000 characters maximum). Examples include:

- Evaluation findings or conclusions
- Intervention outcomes
- Differences between actual and expected results
- Child and family outcomes
- Process outcomes
- Other compelling outcomes not already reported in the AR-2

**Note:** Provide full citation information, including web links if available online. If findings are not published, indicate the source as unpublished.

### Policy Impact of Evaluation Results

Describe how the county commission used the activities and findings described in Evaluation Activities Completed and Evaluation Findings Reported (3,000 characters maximum). Examples include:

- How the results were communicated to the county commission
- What decisions were made based on the evaluation results

- What funding strategy changes were made or anticipated to be made
- Lessons learned
- How the information will be used to update the county strategic plan

# Mapping Services to Programs

## Purpose

The Annual Report provides a summary of statewide expenditures and services. Counties must submit expenditure information and assign persons served to a Service category within a Result Area. This provides financial and demographic information within the common structure of Results and Services, allowing stakeholders to clearly see how resources are allocated and spent. Some programs provide multiple services supporting one or more Result Area(s). This document provides two options to help counties determine the best method for reporting expenditures and persons served:

1. Allocate to the Service category that represents the primary Result Area of the program
2. Allocate to multiple Service categories that represent the array of program Results Areas

## Option 1: Primary Result Criteria

Use the following criteria to determine whether to use the Primary Result option to capture persons served and expenditure data:

- The assignment of funds or individuals does not significantly change the picture of funded services statewide
- The program is a proportionately small percentage of county expenditures and/or relatively small in comparison to other programs
- The effort associated with allocating expenditures across multiple services is not reasonable considering the size of the program in relation to other county programs
- The program's reporting capacity or the data collection system does not reasonably allow for allocation of expenditures or persons served between Result Areas or services for that specific program
- Accounting or contract systems do not allow for effective distribution of program costs

## Option 1: Primary Result Examples

The table on the next page provides examples of programs that fit the criteria for distributing persons served and expenditures based on the Primary Result option, and specifies how to report the data.

| Program   | Report   |
|---|--|
| <b>Example 1</b>  |  |
| County commission expends funds for one program that provides oral health screening and fluoride treatments; it expends funds for a separate program that provides medical referrals to uninsured children  | Report data for both programs under Result – Improved Child Health, Service – Oral Health                        |
| <b>Example 2</b>  |  |
| County commission expends funds for a home visiting program that provides intensive support to families at risk of child abuse; the families receive instruction in parenting, preventative health care, prevention of injury, and provision of basic family needs; program analysis shows that all families receive the intensive parenting component and the other components are not the main focus of the program | Report all data under Result – Improved Family Functioning, Service – Targeted Intensive Family Support Services |

### Option 2: Multiple Results Criteria

Use the following criteria to determine whether to use the Multiple Results option to capture persons served and expenditure data:

- The contract breaks out total expenditures by specific services
- The contract assigns a level of effort through percentages for work on various services
- Sufficient expenditure and service detail are part of a financial report
- Providers can provide estimates of percentages of time and resources dedicated to different services

### Option 2: Multiple Results Examples

The table on the next page provides examples of programs that fit the criteria for distributing persons served and expenditures based on the Multiple Results option, and specifies how to report the data.

| Program  | Report   |
|--|--|
| <b>Example 1</b>   |  |
| County commission expends funds for a collaborative partnership (a family resource center and multiple service providers) for family support services; the contract indicates the dollar amount provided to each service provider; the service providers report the individuals served by their agency | Each provider's cost and persons served to the appropriate Result Area and Service category  |
| <b>Example 2</b>   |  |
| County commission expends funds for a family resource center that provides a variety of programs; three programs collect service-level data on parenting classes, substance abuse services, and health insurance enrollment  | Proportionally allocate expenditures and persons served based on number of services under: <ul style="list-style-type: none"> <li>• Result – Improved Family Functioning, Service – General Parenting Education and Family Support Programs.</li> <li>• Result – Improved Family Functioning, Service – Targeted Intensive Family Support Services</li> <li>• Result – Improved Child Health, Service – Health Access</li> </ul> |

### Document Your Methodology

First 5 California highly recommends documenting your methodology for distributing program persons served and expenditures. Documentation provides the following benefits to county commissions and First 5 California:

- Ensures reporting consistency, allowing counties to use the same approach in future years
- Improves accuracy of data
- Provides an audit trail

First 5 California recommends keeping the following documentation:

- List of programs mapped to each Result/Service
- Criteria used to determine how each program is mapped
- Ratio of allocations across multiple Results/Services
- Resources used for allocation

**Note:** DO NOT send documentation to First 5 California.

| <b>First 5 California<br/>Supplemental Schedule of First 5 California (F5CA) Funding<br/>Fiscal Year 2015-16</b> |                     |   |                |                                 |   |
|--|---------------------|---|----------------|---------------------------------|---|
| <b>Program/Project Title</b>   |                     | <b>Beginning<br/>Program/Project<br/>Balance (As of July 1)</b> | <b>Revenue</b> | <b>Expenditures<sup>1</sup></b> | <b>Ending<br/>Program/Project<br/>Balance (As of June 30)</b> |
| CARES Plus Round 2, Program  | F5CA Funds          | -   | -              | -                               | -   |
|  | County, Local Funds |   |                |                                 |   |
| CSP 1, Extension   | F5CA Funds          | -   | -              | -                               | -   |
|  | County, Local Funds |   |                |                                 |   |
| CSP 3, Extension   | F5CA Funds          | -   | -              | -                               | -   |
|  | County, Local Funds |   |                |                                 |   |
| IMPACT   | F5CA Funds          | -   | -              | -                               | -   |
|  | County, Local Funds |   |                |                                 |   |
| Small Population County Funding Augmentation   | F5CA Funds          | -   | -              | -                               | -   |
| Other (List)   | F5CA Funds          | -   | -              | -                               | -   |
| Other (List)   | F5CA Funds          | -   | -              | -                               | -   |
| Other (List)   | F5CA Funds          | -   | -              | -                               | -   |
| <b>TOTAL F5CA FUNDS</b>  |                     | <b>-</b>  | <b>-</b>       | <b>-</b>                        | <b>-</b>  |
| <b>TOTAL COUNTY FUNDS</b>  |                     |   | <b>-</b>       | <b>-</b>                        |   |
| <sup>1</sup> Expenditures reported must meet or exceed state match requirements, if applicable.                  |                     |   |                |                                 |   |